



**2010-2011 PACE RECERTIFICATION
DESIGNATION RENEWAL FORM**
for The American College designation holders.



***Please read the PACE Designation Renewal Guidelines carefully before completing this form.
All American College designation holders must renew their designation(s) by 12/31/2011.
Payment of the PACE Renewal fee (if applicable) is due at the time this form is submitted.***

(Please Print)

Your American College ID

Name: Mr. /Ms. /Dr. _____

1 _____

Company: _____ E-mail _____ @ _____
(Preferred e-mail address)

Address: _____
(Preferred mailing address)

City, State, Zip: _____

Is the above address a home or work address? H/ W

The following American College designations are subject to PACE:

CLU* ChFC* CASL** RHU REBC CLF CAP**

*CLUs and ChFCs who enrolled in the college prior to 6/30/1989 and who did not volunteer into the program, are not subject to PACE. CLUs and ChFCs who enrolled after 6/30/1989 and all RHUs, REBCs, CLFs, CASLs and CAPs are subject to PACE.

**A total of 30 hours is required each reporting period, regardless of the number of designations earned, for all designations except the CASL and CAP designations which have a requirement of 15 hours.

Report your continuing education status by signing (1) of the Statements on this form. It is important to review the PACE Renewal Guidelines before you sign. Confirmation of PACE compliance will be sent to you within 2 weeks of submittal.

STATEMENT OF COMPLIANCE

By signing below... I certify that I have earned 30 (15 for CAP and CASL) CE hours during the current two-year reporting period 2010 through 2011. I understand that I may be requested to produce verification to substantiate any activity for which I claim credit. I further understand that I must retain documentation of CE credits claimed for 1 year after the current renewal period.

Signature

Date

STATEMENT OF EXEMPTION

By signing below... I certify that I am exempt from reporting CE credits for the 2010-11 renewal period in accordance with the 2010-2011 PACE Designation Renewal Guidelines. I further understand that I may be requested to produce verification of my eligibility for exemption.

Job Title

Signature

Date

REQUEST FOR EMERITUS STATUS

By signing below... I certify that I am 60 years of age or older or will reach the age of 60 during the 2010-11 reporting period and have complied with the PACE program for at least (2) reporting periods.

Signature

Date of Birth

Date

***The Recertification Questionnaire on pages 3,4 & 5 must be completed and returned with this form.
Please see page 2 for payment of the renewal fee.***



RECERTIFICATION QUESTIONNAIRE

1. Have you ever been convicted of a crime, had a criminal judgment withheld or deferred, or are you currently charged with committing a crime?
- YES NO

NOTE:

“Crime” includes any misdemeanor, felony or military offense. You may exclude misdemeanor traffic citations or convictions involving driving under the influence (DUI) or driving while intoxicated (DWI), driving without a license, or driving with a suspended or revoked license. You may also exclude any and all juvenile offenses. “Convicted” includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or “nolo contendere” and/or having been probation, any form of suspended sentence, or any criminal fine. If you answer “Yes” to Question 1, you must explain the following in the “Remarks” section at the end of this form:

- (a) **The circumstances of each incident;**
- (b) **The resolution of each charge and final judgment (if applicable);**
- (c) **The status of each charge, if a final judgment is still pending.**

2. Have you ever filed for personal bankruptcy?
- YES NO

3. Have you ever been found to be in violation of, pled no contest to, stipulated to any form of violation of, or settled any proceeding involving an insurance law, regulation or rule?
- YES NO

If you answer “Yes” to either or both of Questions 3 and 4, please answer the three questions following Question 1 in the “Remarks” section at the end of this form.

4. Have you ever been found to be in violation or, pled no contest to, stipulated to any form of violation of, or settled any proceeding involving a securities law, regulation or rule?
- YES NO

5. Have you ever been terminated from an insurance or financial services organization “for cause”. You may exclude termination for lack of production.
- YES NO

6. Have you ever had a professional, occupational and/or vocational license refused, suspended, or revoked?
- YES NO

NOTE: This includes insurance licenses and securities registrations.

If you answer “Yes” to Question 6, please answer the three questions following Question 1 in the “Remarks” section at the end of this form.

7. Have you ever been named as a defendant in a civil lawsuit or administrative hearing brought by any public or governmental licensing agency or regulatory authority (e.g., NASD, FINRA, SEC...) for violation of, or to prevent the violation of, any securities or insurance law, rule or regulation?
- YES NO

If you answer “Yes” to Question 7, please answer the three questions following Question 1 in the “Remarks” section at the end of this form.

8. Have you ever been found liable, or entered into a settlement agreement in any civil law action involving fraud, or violation of any form of Consumer Protection Act?
 YES NO

If you answer “Yes” to Question 8, please answer the three questions following Question 1 in the “Remarks” section at the end of this form.

9. Have you ever been the subject of a “cease and desist” order, or entered into any form of settlement agreement with any State or Federal regulatory agency, or private litigant?
 YES NO

If you answer “Yes” to Question 9, please answer the three questions following Question 1 in the “Remarks” section at the end of this form.

10. Are you currently a party to, have you been found liable in, or have you ever entered into a settlement agreement in any lawsuit, civil or criminal, or arbitration proceeding involving allegations of fraud, misappropriate and/or conversion of funds, misrepresentation, breach of fiduciary duty, or violation of any form of Consumer Protection Act?
 YES NO

If you answer “Yes” to Question 10, please answer the three questions following Question 1 in the “Remarks” section at the end of this form.

11. Do you have a child support obligation which is currently in arrearage, or otherwise disputed?
 YES NO
12. To your knowledge, are you currently charged with any activity, proceeding or possible judgments, or are you engaged in any activity whatsoever which is likely to reflect poorly upon The American College and/or its designations?
 YES NO

If you answer “Yes” to Question 12, please explain the circumstances in the “Remarks” section at the end of this form.

13. Do you currently hold an insurance license in any State?
 YES NO

If you answer “Yes” to Question 13, please list all States in which you hold an insurance license (both resident and non-resident) in the “Remarks” section at the end of this form.

14. Do you currently hold a securities license in any State?
 YES NO

If you answer “Yes” to Question 14, please list all States in which you hold a securities license (both resident and non-resident) in the “Remarks” section at the end of this form.

REMARKS

APPLICANT'S CERTIFICATION AND ATTESTATION

I have read the above Recertification Application, and understand it. I hereby certify and attest to the following:

1. I hereby certify that all of the information on this form provided by me is true and complete. I am aware that submitting false information is, in and of itself, a sufficient ground for a revocation of any designation issued to me by The American College.
2. I further certify that I grant permission to The American College to verify any and all information provided by me in the Recertification Application, with my current or former employers or any financial services company.
3. I authorize entities such as State Insurance Departments, federal, state or local agencies, or any other relevant organizations, to provide all information necessary to verify my answers to the Recertification Application to The American College.
4. I release and discharge The American College and anyone working on behalf of The American College from any and all liability of whatever nature, that may arise out of The American College's seeking and employing any and all information used to verify my answers on the Recertification Application.

Applicant